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02 22-02
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Docket No.: SP-2628-1C US

Box Non-Fee Amendment
Commissioner For Patents
Washington, D.C. 20231



February 21, 2002

Re: Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay
Assignee: Sun Microsystems Inc.
Title: Execution Of Instructions That Lock And Unlock Computer Resources
Serial No.: 09/941,142
Examiner: Unassigned
Docket No.: SP-2628-1C US

Filed: August 28, 2001
Group Art Unit: 2154

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate); and
- (3) Preliminary Amendment (8 pages);

- ☒ No additional fee is required.
☒ The fee has been calculated as shown below:

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CLAIMS AS AMENDED

| | Claims Remaining <u>After Amendment</u> | | Highest No. <u>Previously Paid For</u> | | Present <u>Extra</u> | <u>Rate</u> | Additional <u>Fee</u> |
|--|--|-------|---|---|-------------------------|-------------|-----------------------|
| Total Claims | 19 | Minus | 19 | = | 0 | x \$18.00 | \$ 0.00 |
| Independent Claims | 3 | Minus | 3 | = | 0 | x \$84.00 | \$ 0.00 |
| <input type="checkbox"/> | Fee of _____ for the first filing of one or more multiple dependent claims per application | | | | | | \$ |
| <input type="checkbox"/> | Fee for Request for Extension of Time | | | | | | \$ |
| <u>Total additional fee for this Amendment:</u> | | | | | | | \$ 0.00 |
| <input checked="" type="checkbox"/> | Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested. | | | | | | |
| <input checked="" type="checkbox"/> | Please charge our Deposit Account No. 19-2386 in the amount of | | | | | | \$ 0.00 |
| <input checked="" type="checkbox"/> | Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386. | | | | | | |
| Total: | | | | | | | \$ 0.00 |

EXPRESS MAIL LABEL NO:

EL764881576US

Respectfully submitted,

D'Ann Naylor Rifai
Attorney for Applicant(s)
Reg. No. 47,026



PATENT

H.
J.
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay
Assignee: Sun Microsystems, Inc.
Title: Execution of Instructions that Lock and Unlock Computer Resources
Serial No.: 09/941,142 Filing Date: August 28, 2001
Examiner: Unknown Group Art Unit: 2154
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San Jose, California
February 21, 2002

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

PRELIMINARY AMENDMENT

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Dear Sir:

The following Amendments and Remarks are submitted for entry into the application filed on August 28, 2001, Serial Number 09/941,142. This Preliminary Amendment is submitted prior to the first Office Action on the merits in the above-captioned case. Entry of this amendment is respectfully requested.

AMENDMENTS

In the Specification

Please add the following paragraph as the first paragraph of the application:

AI
This application is a continuation of application serial number 09/204,760, entitled "Partially Executing a Pending Atomic Instruction to Unlock Resources when Cancellation of the Instruction Occurs", filed on December 2, 1998, subsequently issuing as U.S. Patent No. 6,282,637 B1.